

# 1 The perceptual basis of spatial representation

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## Overview

The human experience of space includes knowledge relating to the size, shape, location and distribution of entities in a stable three-dimensional environment. In this introductory chapter I address the perceptual systems and processes that facilitate this: the sense-perceptory and brain mechanisms that process perceptual information giving rise to spatial experience. I also examine the processes whereby perceptual experience is redescribed into rudimentary representations of space. That is, I examine primitive concepts which form the bedrock of our ability to think, reason and talk about space and, indeed, more abstract realms. Thus, this chapter is concerned primarily with i) the perception of space, and the way in which spatial experience is ‘constructed’ by virtue of our sense-perceptory systems and brain mechanisms, and ii) how spatial experience is ‘redescribed’, giving rise to foundational spatial concepts prior to the emergence of language from around one year onwards.

The chapter begins by examining the distinction between spatial representations, exploring the difference between percepts and concepts. I then examine the human perceptual systems which facilitate the detection of sensory stimuli from the external environment. I then look at perceptual theories which attempt to explain how the brain constructs spatial experience from this sensory input. I then turn to the human mapping ability: an innate mechanism that allows us to construct spatial or cognitive ‘maps’ based on locational information. This ability is essential for wayfaring, which is to say navigating in space. I then examine how percepts are redescribed as the basic spatial primitives, known as image schemas.

## 1 Introduction: perception vs conception

My main concern in this chapter is to review the way in which space is experienced and constructed by the human *sensory* (or *sense-perceptory*) *systems*, and the brain. I also review the way in which these objects of spatial perception known as *percepts* give rise to rudimentary spatial representations (or *concepts*) known as *image schemas*. Accordingly, at this point I briefly review the distinction between perception (and percepts), and conception (and concepts).

Perception consists of three stages: i) *sensation* ii) *perceptual organisation* and iii) *identification and recognition*. Sensation concerns the way in which external energy, such as light, heat, or (sound) vibrations are converted into the *neural codes* which the brain recognises. Perceptual organisation concerns the way in which this sensory informa-

1 tion is organised and formed into a perceptual object, a percept. Identification and  
 2 recognition relates to the stage in the process whereby past experiences and conceptual  
 3 knowledge is brought to bear in order to interpret the percept. For instance, a spherical  
 4 object might be identified and recognised as a football or a coin, or a wheel, or some  
 5 other object. That is, this stage involves meaning, which is to say understanding the  
 6 nature, function and significance of the percept. As such, a previously-formed concept  
 7 is employed in order to identify and categorise the percept.

8 **Table 1:** Three stages in perception

<b>Sensation</b>	<b>external energy stimuli are detected and converted into neural codes</b>
<b>perceptual organisation</b>	integration of neural codes by the brain to form a percept
<b>identification and recognition</b>	the percept is categorised, which involves matching with stored experiences

9

10 The distinction between percepts and concepts relates to distinctions in *representational formats*: how experience is presented at the cognitive level and how it is stored.  
 11 Percepts constitute coherent representations which derive from sensory experience,  
 12 and arise from multiple *modalities*. That is, they derive from information which is  
 13 integrated from a number of different sensory systems, discussed in more detail in  
 14 the next section. Percepts are typically available to conscious experience. That is,  
 15 they are the product of *on-line processing*, resulting from a stimulus array perceived  
 16 in the 'here-and-now'. A consequence of this is that they consist of specific information  
 17 relating to the specific stimulus array that they are derived from. Thus, they are  
 18 *episodic* in nature.  
 19

20 Concepts, on the other hand, represent *schematisations*, formed by abstracting  
 21 away points of differences in order to produce representations which generalise over  
 22 points of similarity. Thus, the concept CAR, for instance, is a schematisation derived  
 23 by generalising across many different sorts of specific (episodic) experiences relating  
 24 to automobiles in order to form a single representation. Of course, this greatly simpli-  
 25 fies things, and I emphasise that concepts, while stable schematisations are not static  
 26 and unchanging. Indeed, they continue to be updated and thus evolve as the human  
 27 perceiver continues to be exposed to new experiences. A consequence of the schematic  
 28 nature of concepts is that, unlike percepts, concepts are representations in the sense  
 29 of re-presentations. That is, they are stored in memory and can be activated during  
 30 *off-line processing*. That is, they can be recalled in the absence of the percept(s) which  
 31 may have given rise to them.

32 A further important point is that while percepts relate primarily to the sensory  
 33 details of a given entity, concepts include a much greater range of information types,  
 34 including the nature and function of the entity which is being represented, as well as  
 35 how it relates to other concepts. Thus, concepts are related to one another in a systematic  
 36 way, and form a structured knowledge 'inventory, what I will refer to as the human  
 37 *conceptual system*. Thus, concepts constitute 'theories' concerning a particular entity,

1 and as such bring meaning to bear with respect to any given percept (for discussion  
2 see Mandler 2004).

3 This said, how do percepts and concepts arise? Percepts arise from a process termed  
4 *scene analysis* (e.g., Bregman 1990). Scene analysis is the process whereby the perceptual  
5 stimulus array is segregated into coherent percepts. This is achieved by both *bottom-up*  
6 *processing* and *top-down processing*.

7 Bottom-up processing relates to the processing and integration of perceptual  
8 ‘details’ that make up, for instance, object percepts, such as a vase or a ball. I will  
9 consider two sorts of perceptual details later in the chapter which are termed *textons*  
10 and *geons*. Top-down processing relates to the integration of perceptual information  
11 which is guided by global principles. Such principles have been proposed, for instance  
12 by Gestalt psychology, an important and influential movement that I will consider in  
13 detail below.

14 Bottom-up and top-down processing cross-cut another important distinction which  
15 relates to *primitive segregation* versus *schema-based segregation*. That is, scene analysis  
16 proceeds by making use of both innate and learned constraints. Primitive segregation is  
17 segregation of the stimulus array based on innate, which is to say, pre-given, primitives.  
18 Such primitives, which include, for instance *figure-ground segregation*, discussed below,  
19 derive from invariants in the stimulus array which have, through evolutionary processes  
20 come to be ‘hard-wired’ in the human brain. In contrast, schema-based segregation  
21 involves scene analysis which employs learned constraints.

22 Before concluding this section, it is necessary to briefly say something about the  
23 relationship between spatial concepts and percepts. In fact, this is an issue I address in  
24 greater detail when I present the work of developmental psychologist Jean Mandler later  
25 in the chapter. However, for now I note that spatial concepts derive from, in the sense  
26 of being ‘redescribed’ from, perceptual experience. This process, which Mandler refers  
27 to as *perceptual meaning analysis*, uses spatial percepts as the basis for the formation  
28 of rudimentary spatial concepts: image schemas. I will have more to say about these  
29 basic spatial concepts later.

## 30 2 Sensory systems

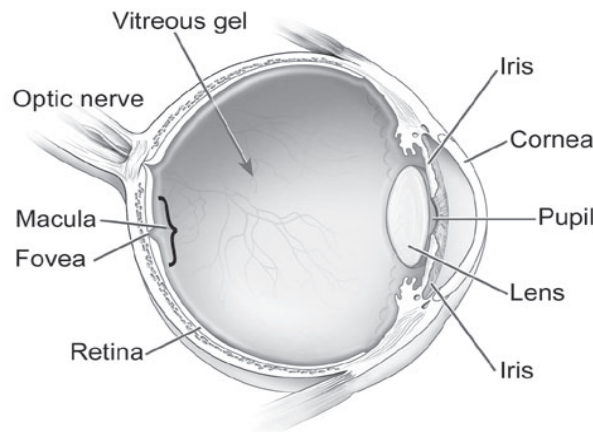
31 In this section I review the mechanisms that facilitate the processing of energy signals  
32 from the environment, the *stimulus array*, and how this information is detected by our  
33 sensory systems, and processed. I begin by examining the sensory organs and systems  
34 which serve as our windows on our spatial environment.

### 35 2.1 The visual system

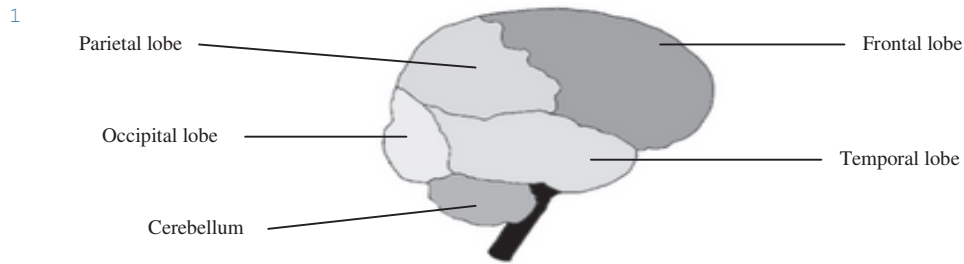
36 The crucial organ for the visual system is the eye. The brain and the eye work together  
37 to produce vision. Light enters the eye and is changed into nerve signals that travel  
38 along the optic nerve to the brain. As light enters the eye it is brought into focus on  
39 the rear surface of the eyeball. Light enters at the cornea (see Figure 1), which helps

1 to bend light directing it through the pupil: the small dark circle at the centre of your  
 2 eye. The amount of light that enters the pupil is controlled by the iris – often coloured  
 3 brown or blue and encircles the pupil – which expands or contracts making the iris  
 4 larger or smaller. Behind the pupil is a lens, a spherical body, bringing light waves into  
 5 focus on the retina, the rear of the eyeball. The retina consists of a thin layer of light  
 6 receptors known as photoreceptors. There are two kinds of photoreceptors: cones and  
 7 rods. Cones allow us to see in colour and provide our perception in daylight. Rods  
 8 facilitate vision under dim conditions and allow only black and white perception.  
 9 That part of the retina which is most sensitive is called the *macula*, and is responsible  
 10 for detailed central vision. The part of the macula which produces clearest vision is  
 11 the *fovea*. It is a tiny area densely packed with cone cells. Accordingly, when we look  
 12 ahead, light reflected from objects in our ‘line of sight’ is directed onto our fovea, and  
 13 objects occupying this area of the macula are perceived by virtue of what is termed  
 14 *foveal vision*. Objects at the edge of the visual field are perceived less clearly. Vision of  
 15 this kind is known as peripheral vision.

16

17 **Figure 1.** The eye.18 *‘What’ and ‘where’ visual systems*

19 The photoreceptor cells on the retina convert light energy into neural information.  
 20 However, this information from different parts of the retina is carried along two different  
 21 pathways or ‘streams’, connecting different parts of the *visual cortex* – that part of the  
 22 brain responsible for vision – and providing distinct sorts of information. The visual  
 23 cortex occupies about a third of the (*cerebral*) *cortex*, the outer layer of the *cerebrum*  
 24 (consisting of four lobes, see Figure 2).



2 **Figure 2.** Diagram showing the four lobes of the cerebrum, and the cerebellum.

3 (The cerebral cortex is the outer layer of the cerebrum. Note: The brain is seen from the  
4 right side, the front of the brain, above the eyes, is to the right.)

5 The visual cortex is divided into approximately thirty interconnected visual areas.  
6 The first cortical visual area is known as the primary visual cortex or V1. V1 sends  
7 information along two separate pathways or 'streams' through different parts of the  
8 visual cortex, giving rise to two separate visual systems each giving rise to different kinds  
9 of information (Ungerleider and Mishkin 1982). The primary visual system, known as  
10 the *focal system* sends information from the macula along the pathway known as the  
11 *ventral stream* (ventral means 'lower'). This system, often referred to as the 'what' system,  
12 provides information relating to form recognition and object representation. That is,  
13 it allows us to identify and recognise objects, including the recognition of attributes  
14 such as colour, for instance.

15 The second system, known as the *ambient system* sends information from both  
16 the macula and more peripheral locations on the retina along a pathway known as  
17 the *dorsal stream* (dorsal means 'upper'). This system, also known as the 'where'  
18 system, provides information relating to where an object is located in body-centred  
19 space, rather than with details of the object itself. Thus, light signals in the eye are  
20 transformed by the brain providing two distinct sorts of information relating to  
21 'what' and 'where'.

22 More recently Milner and Goodale (1995) have demonstrated that the distinction  
23 between the two 'streams' does not strictly relate to the type of percept ('what' versus  
24 'where') that visual processing provides, in the way conceived by Ungerleider and  
25 Mishkin. Rather, while the ventral stream provides information that allows humans to  
26 perceive particular objects ('what'), the dorsal stream provides functional information  
27 which facilitates readiness for action in order to interact with objects and other entities  
28 in the world. In other words, the ventral stream provides information leading to the  
29 conscious understanding of objects and other entities in the physical environment,  
30 while the dorsal stream serves to facilitate motor programming.

31 Important evidence for these two distinct visual systems comes from the phenom-  
32 enon known as *blindsight*. Some blind individuals appear to be able to localise and orient  
33 to objects without actually being able to see them. In other words, some blind people  
34 appear to be able to locate objects without knowing what the objects are, that is, without

1 being able to identify the object. This suggests that in such cases while the focal system  
 2 is damaged, the ambient system, mediated by the dorsal stream allows them to make  
 3 correct orientation judgments and responses, providing compelling evidence for two  
 4 distinct kinds of visual information.

5 Recent work on spatial representation in language suggests that the ‘what’ and  
 6 ‘where’ systems may have linguistic reflexes. For instance, Landau and Jackendoff (1993)  
 7 argue that spatial relations, as encoded by prepositions, and objects as encoded by count  
 8 nouns roughly approximate the pre-linguistic representations deriving from the ‘where’  
 9 and ‘what’ systems respectively. Similarly, Hurford (2003) argues that the ‘where’ and  
 10 ‘what’ systems provide neurological antecedents for predicate-argument structure in  
 11 language.

## 12 2.2 The vestibular system

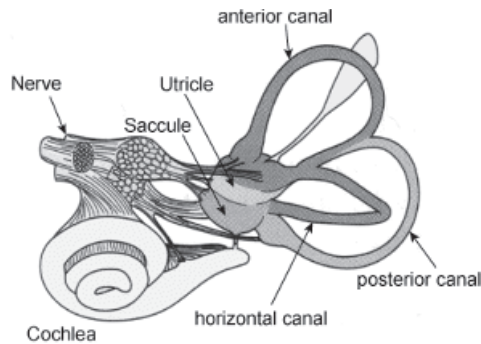
13 The *vestibular system*, or *orienting sense* is the sensory system that provides information  
 14 relating to our sense of balance, and is the dominant system with respect to sensory  
 15 input about our movement and orientation in space. Together with the cochlea, the  
 16 auditory organ, discussed below, the vestibular system, is situated in the *vestibulum* in  
 17 the inner ear (Figure 3).

18 As our movements in space consist of *rotations* – circular motion, as when we turn  
 19 around – and *translations* – linear motion, as when we walk along a path (horizontal  
 20 motion), or climb a ladder (vertical motion or gravity) – the vestibular system comprises  
 21 two components. The first component consists of semicircular canals which detect  
 22 rotations. These are interconnected fluid-filled tubes which are located in three planes  
 23 at right angles to one another. The inner surface of the canals also contain hairs. As the  
 24 fluid moves in response to rotational movement the hairs detect motion of the fluid and  
 25 transduce this into neural code. The three distinct canals serve to provide rotational  
 26 information from three axes.

27 The second component consists of two fluid-filled sacs, the *utricle* and the *sacculle*.  
 28 These chambers contain *otoliths* – literally ‘ear stones’ – which are heavier than the  
 29 fluid in the sacs and respond to linear and vertical motion, including both left-right,  
 30 forward-back motion and gravity (vertical motion). As before both the utricle and sac-  
 31 cule contain hairs which detect movement of the otoliths in response to linear motion.  
 32 This information is transduced into neural code which is transmitted to the brain for  
 33 processing.

34 The vestibular system sends signals primarily to the neural structures that control  
 35 our eye movements, and to the muscles that keep us upright. One important function  
 36 of the vestibular system is to coordinate body and head movement with the detection  
 37 of motion by the visual system. This is referred to as the *vestibulo-ocular reflex (VOR)*,  
 38 which is necessary for vision to remain clear. This works during head movement by  
 39 producing an eye movement in the direction opposite to head movement, thus preserv-  
 40 ing the image on the centre of the visual field. For example, when the head moves to the  
 41 right, the eyes move to the left, and vice versa. Since slight head movements are present  
 42 all the time, the VOR is very important for stabilising vision.

1



2 **Figure 3.** The vestibular system and cochlea.

3 The vestibular system is, in *phylogenetic* (i.e., evolutionary) terms, one of the first systems  
 4 to have developed. In *ontogenetic* (i.e., developmental) terms it is the first to fully develop,  
 5 by six months after conception.

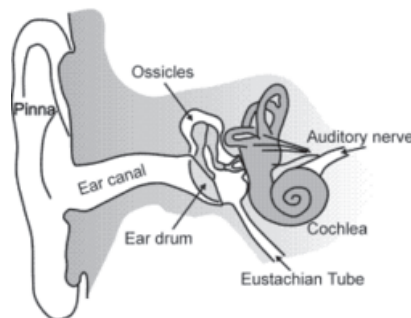
## 6 2.3 The auditory system

7 The vestibular system, and the key auditory organ, the cochlea, are closely linked,  
 8 both occupying the ear bone. It is widely believed that the cochlea evolved from the  
 9 phylogenetically earlier sensory structures responsible for detecting bodily orientation.

10 The auditory system works by transforming sensory information first from air to  
 11 fluid and then to electrical signals that are relayed to the brain. One important function  
 12 of the ear is to amplify sound vibrations, in preparation for the transformation from  
 13 air to fluid. The folds of cartilage that comprise the outer ear on the side of the head are  
 14 called the *pinna* (see Figure 4). The sound waves enter the ear canal, a simple tube which  
 15 starts to amplify the sound vibrations. At the far end of the ear canal is the eardrum  
 16 which marks the beginning of the middle ear.

17 The middle ear includes the *ossicles* – three very small bones shaped like a hammer,  
 18 an anvil, and a stirrup. The ossicles further amplify the sounds by converting the lower-  
 19 pressure eardrum sound vibrations into higher-pressure sound vibrations. Higher pres-  
 20 sure is necessary because the inner ear contains fluid rather than air. The signal in the  
 21 inner ear is then converted to neural code which travels up the auditory nerve.

22



23 **Figure 4.** Anatomy of the ear.



1 The auditory nerve takes the neural code to that part of the brainstem known as the  
 2 cochlear nucleus. From the cochlear nucleus, auditory information is split into two  
 3 streams, similar to the way in which the visual signal is split into 'where' and 'what'  
 4 streams. Auditory nerve fibres going to the ventral cochlear nucleus preserve the timing  
 5 of the auditory signal in the order of milliseconds. Minute differences in the timing of  
 6 signals received by both ears allow the brain to determine the direction of the sound.

7 The second, dorsal, stream analyses the quality of sound. It does this by virtue of  
 8 detecting differences in frequencies and thus allows differentiation of phonemes, such  
 9 as the distinction between *set* versus *sat*.

## 10 2.4 The haptic system

11 The haptic system includes the combined sensory input from the receptors for touch  
 12 in the skin and *proprioception receptors* in the body's muscles and joints. Together  
 13 sense-perception from the haptic system gives rise to perceptual information from a  
 14 broad range of contact encounters between the body and environment that are sent to,  
 15 and processed by, a region of the cerebral cortex known as the *somatosensory area*. The  
 16 haptic system – deriving from *hapsis* which is Greek for 'to grasp' – provides perception  
 17 of geometric properties including the shape, dimension, and proportions of objects. It  
 18 also gives rise, through the proprioceptive receptors, to the felt sense of co-ordinated  
 19 movement, and thus is responsible, in part, for our perception of being distinct from the  
 20 environment which surrounds us. I review in more detail below the two key components  
 21 that make up the haptic system, the skin, and proprioception.

### 22 *The skin*

23 The skin is the largest organ, covering the entire body. It contains specialised nerve  
 24 endings which can be stimulated in different ways providing different sensations and  
 25 thus different sorts of sensory information. The sensory effect resulting from stimulation  
 26 of the skin is known as *cutaneous sensitivity*. There are three main *cutaneous qualities*:  
 27 pressure (also known as touch), temperature and pain. The somatosensory cortex in the  
 28 brain represents different skin regions as well as different cutaneous qualities. Thus, the  
 29 brain is provided with information relating to where on the skin a particular stimulus  
 30 is being received and what sort of quality is associated with it.

31 In terms of touch there is an important distinction to be made between *active touch*,  
 32 and *passive touch*. In active touch, the experiencer actively controls sensory stimulus  
 33 activation by virtue of picking up an object, for instance. By contrast, passive touch  
 34 occurs without the reception of the stimulus being controlled by the experiencer, as  
 35 when an object is placed in contact with the skin. Although the entire surface of the  
 36 skin responds to touch, the most sensitive receptors are the 'exploratory' parts of the  
 37 body. These include the fingers and hands, parts of the mouth and the tip of the tongue,  
 38 as well as the genitalia.



## 1 *Proprioception*

2 *Proprioception* – from the Latin *proprius* which means ‘one’s own’ – relates to the sense  
3 of body part position and movement. That is, it concerns the posture, location and  
4 movement of the arms, legs and other parts of the human skeleton. Another commonly-  
5 used term for proprioception is *kinaesthesia* – or kinaesthesia, from the Greek *kineo*, ‘to  
6 move’. Proprioception is essential for a whole range of coordinated movements. To get  
7 a sense of how it functions close your eyes and then touch your nose with a finger tip.  
8 Your ability to do this comes from proprioception.

9 Proprioceptive receptors are known as *mechanoreceptors*. There are two types.  
10 The first type provides sensory stimuli for joint information. The second provides  
11 information deriving from mechanoreceptors found in muscles and tendons. The  
12 mechanoreceptors for joint information are stimulated by contact between the joint  
13 surfaces. This occurs when the angles at which bones are held with respect to one another  
14 change, due to movement. The mechanoreceptors in the muscles and tendons respond  
15 to changes in the tension of muscle fibres when movement occurs.

## 16 **3 Spatial perception: how we experience space**

17 In this section I review the perception of objects, form, movement and three-dimensional  
18 space. Perhaps unsurprisingly, given the importance of the visual modality for primates  
19 in general and humans in particular, much of the work on various aspects of spatial  
20 perception has traditionally focused on visual cues. Indeed, visual perception is perhaps  
21 the best studied of the sensory systems. Accordingly, in this section I will primarily focus  
22 on the role of visual perception in the experience and construction of spatial percepts.

### 23 **3.1 Texture and object perception**

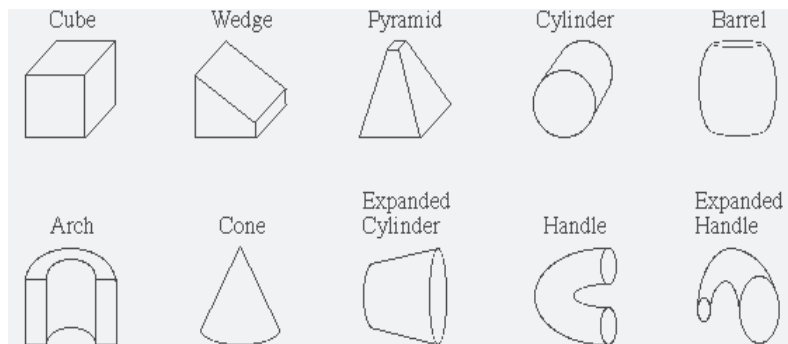
24 Before objects can be identified visual details must be processed and integrated by the  
25 visual system. Variations in visual scenes, in terms of i) light intensity, i.e., adjacent  
26 regions of light and dark areas – known as *contrast phenomena* – ii) patterns and iii)  
27 colour, form repeated patterns known as *visual texture*. The patterns, for instance, curly  
28 versus straight hair, or a tiger’s stripes versus a leopard’s spots, are often the result of  
29 the physical surface properties such as differentially oriented strands, and direction of  
30 light and direction of motion.

31 One important bottom-up theory of visual texture perception is known as *Feature*  
32 *Integration theory*. This theory assumes that there are two major stages involved in the  
33 perception of visual texture. The first stage, known as the *preattentive stage*, involves the  
34 unconscious processing of visual texture. In a seminal paper, psychologist Bela Julesz  
35 (1981) proposed that the preattentive stage serves to process textural primitives, the  
36 fundamental components of visual texture. These he labelled *textons*.

1       Textons are distinct and distinguishable characteristics of any given visual display.  
 2       For instance, textons include straight lines, line segments, curvature, widths, lengths,  
 3       intersections of lines, and so on. According to Julesz, the first stage of visual texture  
 4       perception involves discriminating between the range of textons in a visual display. The  
 5       second stage in visual texture perception is the *focused attention stage*. This involves  
 6       conscious processing in order to integrate the textons into complex unitary objects.

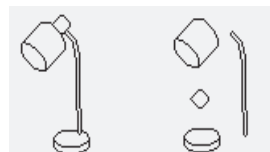
7       Just as textons have been proposed as the primitive elements of visual texture  
 8       perception, a related bottom-up theory has been proposed to account for object identi-  
 9       fication. This theory, associated with the work of Biederman (1987) is called *recognition*  
 10      *by components*. Biederman's essential insight is that the identification of objects involves  
 11      the combination of a set of primitive three-dimensional geometric components which  
 12      he labels *geons*, short for 'geometric icons'. Geons are simple volumes such as cubes,  
 13      spheres, cylinders, and wedges (see Figure 5). Biederman has proposed 36 geons which  
 14      can be combined in a range of ways giving rise to complex objects. Biederman argues  
 15      that object perception crucially relies upon recognising the components which make  
 16      up an object, the geons. Figure 6 illustrates how a perceived object is comprised of a  
 17      range of constituent geons. The image on the left corresponds to the perceived object  
 18      (a desk lamp), and the image on the right to the constituent geons.

19



20      **Figure 5.** Some examples of geons (After Biederman 1987).

21



22      **Figure 6.** Geons in object perception.

## 1 3.2 Form perception

2 In the previous section I briefly looked at primitive elements that have been proposed for  
 3 textual perception and the identification of objects. However, in addition to identifiable  
 4 components of images and objects, there are also higher-level processes involved that are  
 5 essential for the perception of forms and the grouping of objects. Moreover, these appear  
 6 to be innate. I discuss two sorts of such organising principles below, figure-ground  
 7 segregation, and the Gestalt grouping principles.

### 8 *Figure-ground perception*

9 A fundamental way in which we segregate entities in our environment, thereby perceiv-  
 10 ing distinct objects and surfaces, comes from the our ability to perceive certain aspects  
 11 of any given spatial scene as ‘standing out’ from other parts of the scene. This is known  
 12 as *figure-ground organisation*.

13 The phenomenon of figure-ground organisation was pointed out by the Danish  
 14 psychologist Edgar Rubin in 1915. He observed that in visual perception we see parts  
 15 of a given spatial scene as being made up of well-defined objects, which ‘stand out’  
 16 from the background. That is, we see objects as three-dimensional entities which stand  
 17 out from the terrain in which they are located. For instance, in Figure 7, the image of  
 18 the lighthouse, the figure, stands out from the grey horizontal lines, the ground, as a  
 19 recognisable and distinct image.

20



21 **Figure 7.** Figure-ground segregation.

22 Rubin proposed a number of perceptual differences between the figure and ground.  
 23 These are summarised in table 2.

24 **Table 2:** Distinctions between figure and ground.

Figure	Ground
Appears to be thing-like	appears to be substance-like
a contour appears at edge of figure's shape	relatively formless

25

Figure	Ground
appears closer to the viewer, and in front of the ground	appears further away and extends behind the figure
Appears more dominant	less dominant
better remembered	less well remembered
more associations with meaningful shapes	suggests fewer associations with meaningful shapes

In addition, figure-ground perception appears to be innate. For instance, photographs which lack depth, being two-dimensional surfaces, are perceived in three-dimensional terms. That is, the figure-ground organisation associated with photographs is an illusion. A particularly well-known illusion made famous by Rubin is the vase-profile illusion (Figure 8).



**Figure 8.** The vase/profile illusion.

The vase/profile illusion is an ambiguous figure-ground illusion. This is because it can be perceived either as two black faces looking at each other, on a white background, or as a white vase on a black background. In other words, it undergoes spontaneous **reversal**. This illusion shows that perception is not solely determined by an image formed on the retina. The spontaneous reversal illustrates the dynamic nature of the perceptual processes. These processes illustrate that how the brain organises its visual environment depends on our innate ability to segregate images on the basis of figure-ground organisation. As this image contains the same percentage of black and white, that part of the image which is assigned the role of figure determines whether a vase or faces are perceived.

Figure-ground organisation appears to be an evolutionary response to our physical environment. Our visual system, for instance, has evolved in order to be able to perceive three-dimensional objects as distinct from the surrounding terrain in which they are embedded. Figure-ground organisation thus constitutes a hard-wired response to this imperative.

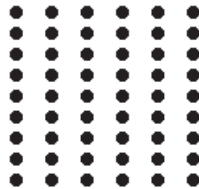
1 *Gestalt grouping principles*

2 Gestalt psychology was a movement which emerged in the first decades of the twentieth  
3 century. Its primary concern, and those of its three leading proponents, the German  
4 psychologists Max Wertheimer, Kurt Koffka and Wolfgang Köhler was to investigate  
5 why some elements of the visual field form coherent figures, and others serve as the  
6 ground. Gestalt is the German term for 'form', or 'shape' or 'whole configuration'. The  
7 Gestalt psychologists proposed a number of innate grouping principles that enable us  
8 to perceive forms. Some of these, based on the work of Max Wertheimer (1923) are  
9 presented below.

10 *Principle of Proximity (or nearness)*

11 This principle states that the elements in a scene which are closer together will be seen  
12 as belonging together in a group. This is illustrated in Figure 9. The consequence of the  
13 greater proximity or nearness of the dots on the vertical axis is that we perceive the dots  
14 as being organised into columns rather than rows.

15



16 **Figure 9.** Column of dots.

17 If the scene is altered so that the dots are closer together on the horizontal axis, then  
18 we perceive a series of rows, as illustrated in Figure 10.

19



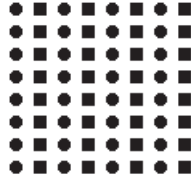
20 **Figure 10.** Rows of dots.

21 *Principle of Similarity*

22 This principle states that entities which share visual characteristics such as size, shape  
23 or colour will be perceived as belonging together in a group. For example, in Figure 11,  
24 we perceive columns of shapes (rather than rows). In fact, the shapes are equidistant on

1 both the horizontal and vertical axes. It is due to our innate predisposition to organise  
 2 based, here, on similarity that similar shapes (squares or circles) are grouped together  
 3 and, consequently, are perceived as columns.

4

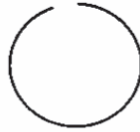


5 **Figure 11.** Columns of shapes

6 *Principle of Closure*

7 This principle holds that incomplete figures are often 'completed', even when part of the  
 8 perceptual information is missing. For instance, in Figure 12 we perceive a circle, even  
 9 though the 'circle' is incomplete. That is, there is a tendency to close simple figures, by  
 10 extrapolating from information which is present.

11



12 **Figure 12.** An incomplete figure subject to perceptual closure

13 A related perceptual process is illustrated by the following. In Figure 13, a white triangle  
 14 is perceived as being overlaid on three black circles, even though the image could simply  
 15 represent three incomplete circles. This phenomenon is known as the perception of  
 16 *subjective or apparent contours*. It resembles closure, in so far as there is the appearance  
 17 of edges across a blank area of the visual field.

18

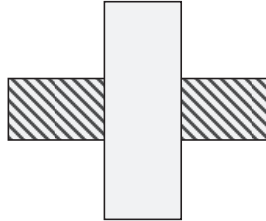


19 **Figure 13.** Subjective contour: A white triangle

1 *Principle of Good Continuation*

2 This principle states that human perception has a preference for continuous figures.  
3 This is illustrated in Figure 14. Here, we perceive two unbroken rectangles, one passing  
4 behind another, even though this is not what we actually see. In fact, the shaded rectangle  
5 is obscured by the first, so we have no direct evidence that the shaded area represents  
6 one continuous rectangle rather than two separate ones.

7

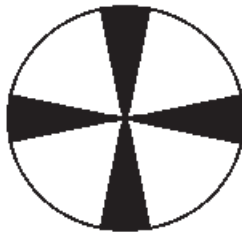


8 **Figure 14.** Two rectangles

9 *Principle of Smallness*

10 The Principle of Smallness states that smaller entities tend to be more readily perceived  
11 as figures than larger entities. This is illustrated in Figure 15. We are more likely to  
12 perceive a black cross than a white cross, because the black shading occupies a smaller  
13 proportion of the image.

14



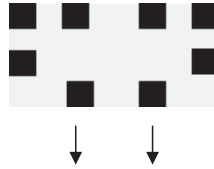
15 **Figure 15.** A black cross

16 *Principle of common fate*

17 The final principle I consider here is the Principle of Common Fate. This states that  
18 elements that move in the same direction are perceived as being related to one another.  
19 For instance, assume that we have two rows of 4 small squares. If the middle two squares  
20 from the bottom row begin to move down the page, as depicted by the arrows in Figure  
21 16, they are perceived as belonging together and thus form a separate group from those  
22 that remain stationary.



1

2 **Figure 16.** Motion in the same direction

3 The Gestalt grouping principles I have surveyed conform to the general Gestalt Principle  
4 of Good figure, also known as the *Law of Prägnanz*. This states that we tend to perceive  
5 the simplest and most stable of the various perceptual possibilities.

6 While I have primarily focused in this section on visual perception, it is important  
7 to emphasise that the principles I have discussed, both figure-ground and grouping prin-  
8 ciples manifest themselves in other modalities. For instance, Kennedy (1983; Kennedy  
9 and Domander 1984), present evidence that figure-ground perception, including the  
10 analogues to the ambiguous profile/vase illusion occur in the tactile (touch) modality,  
11 based on experiments involving raised-line drawings of reversible figures. Similarly,  
12 Bregman (1990) has argued that the Gestalt principles apply equally to auditory scene  
13 analysis. He makes the point, for instance, that the ability to perceive a series of musical  
14 notes as forming a tune is an instance of a gestalt par excellence.

### 15 3.3 The perception of movement

16 Our ability to detect movement is essential for the survival of the species. Below I  
17 discuss a number of different systems for the detection of motion, and different kinds  
18 of motion. I begin with the visual detection of motion.

#### 19 *Two visual systems*

20 Motion detection appears to have evolutionary priority over shape detection (Gregory  
21 1998). Indeed, as observed by Gregory, the evolution of the eye emerged in the first place  
22 in order to detect motion. Indeed, only eyes relatively high up the evolutionary scale  
23 produce stimulus in the absence of motion. The evolutionary development of vision  
24 and the detection of motion are represented in the human eye:

25 The edge of our retinas are sensitive only to movement. You can see this by getting  
26 someone to wave an object around at the side of your visual field where only the  
27 edge of the retina is stimulated. Movement is seen, but it is impossible to identify the  
28 object, and there is no colour. When movement stops the object becomes invisible.  
29 This is as close as we can come to experiencing primitive vision. The extreme  
30 edge of the retina is even more primitive: when it is stimulated by movement we  
31 experience nothing; but a reflex is initiated, rotating the eye to bring the moving  
32 object into central vision...'

1 (Gregory, 1998: 98).

2 The human visual system involves eyes which can move in the head, as when we keep  
3 our heads stationary and move our eyes from side to side or up and down. Consequently,  
4 our visual system has two distinct ways of detecting motion.

5 The first involves *image-retina movement*. This involves the eye ball remaining  
6 stationary. In this situation the image of moving objects run sequentially across adjacent  
7 photoreceptors on the retina. That is, the detection of movement occurs as different  
8 photoreceptors are understood by the brain as relating to different locations in space.  
9 The second method involves *eye-head movement*. This relates to movement of the eyes  
10 in the eye-ball socket when we follow an object in motion. In this situation, an object is  
11 not running across different photoreceptors as the eye moves in order to track the object.  
12 Rather, information from the eye muscles, which stretch in response to the movement  
13 of the eye is understood by the brain as relating to motion of the tracked object.

#### 14 *Optic flow*

15 In normal vision when we move our eyes, the world remains stable. That is the visual  
16 world doesn't spin around. This follows as during normal eye movements, signals from  
17 the image-retina and eye-head systems cancel each other out, such that the world is  
18 perceived as stable. While the two visual systems just described relate to the detection  
19 of the movement of objects, another source of movement detection comes from the way  
20 in which the human experiencer moves about during the world. As we move around  
21 the location from which we view our environment changes. The consequence of this is  
22 that there is a continuous change in the light stimulus which is projected on the retina.  
23 Following the pioneering work of psychologist James Gibson (e.g., 1986), this changing  
24 stimulus is known as *optic flow*.

25 Optic flow relates to a radial pattern which specifies the observer's direction of  
26 self-motion and is essential for successful navigation through the environment. As we  
27 travel through the world, and as we approach objects, they appear to move towards  
28 us, flowing past behind us as we move beyond them. Moreover, different objects at  
29 different points in the visual field appear to move towards and past us at different rates.  
30 For instance, imagine sitting on a train and travelling through the countryside. Distant  
31 objects such as clouds or mountains appear to move so slowly that they are stationary.  
32 Closer objects such as trees appear to move more quickly while very close objects appear  
33 to whiz by in a blur. This motion, the optic flow pattern, provides important cues as  
34 to distance. Moreover, the optic flow varies depending on the relationship between  
35 viewing angle and direction of travel. For instance, objects which are dead-ahead and  
36 thus centred in the visual field will appear to remain stationary, while objects which are  
37 more peripheral in the visual field will appear to move more rapidly. However, because  
38 the edges of centred objects will not be in foveal vision, the edges will have optic flow  
39 associated with them. Thus, optic flow patterns provide important information about  
40 both distance and direction of travel.

1 *Biological motion*

2 The requirement of being able to rapidly detect the motor activities of humans and  
 3 other organisms is essential for survival. Indeed, under certain lighting conditions,  
 4 such as at dusk, details relating to the precise nature of the animal in question may not  
 5 be readily discernable, especially if the animal is distant. Accordingly, humans have  
 6 evolved an ability to detect what Johansson (1973) terms *biological motion*. Based purely  
 7 on movement cues, we can quickly distinguish biological from non-biological motion.  
 8 Moreover, humans can readily distinguish between different types of biological motion  
 9 based solely on movement cues, for example, running versus jogging versus walking  
 10 versus jumping, and so on. Each gait represents a gestalt constructed from a sequence  
 11 of pendulum-like motions, specific to each activity type.

12 Evidence for this ability comes from the work of the visual psychologist Gunnar  
 13 Johansson. He videotaped actors in complete darkness. The actors had *point-light dis-*  
 14 *plays* (points of light) fixed at ten main body joints which served as the only illumination.  
 15 This eliminated all non-movement cues, such as the body contours of the actors. Subjects  
 16 were then asked to identify biological motion and the motor activities engaged in by  
 17 the actors. Johansson found that in the absence of motion subjects failed to recognise  
 18 the point light displays as representing a human form. However, with movement the  
 19 subjects vividly perceived human motion. In other words, subjects related moving lights  
 20 in order to perceive human movement, and moreover, were able to identify the *pattern*  
 21 *of movement*, that is, the kind of movement being engaged in.

22 **3.4 The perception of three-dimensional space**

23 In this section I briefly review how the brain constructs (three dimensional) space, that  
 24 is, depth, when the retina is a two-dimensional surface. In other words, where does the  
 25 third dimension come from? I consider below a number of cues that the brain extracts  
 26 from the visual stimuli in order to construct our experience of (three-dimensional)  
 27 space.

28 While depth and distance can be constructed on the basis of a range of visual (and  
 29 other) stimuli, including auditory cues, and the optic flow patterns described above,  
 30 an important means of obtaining depth information comes from *binocular cues*. This  
 31 relates to the spatial stimuli provided by virtue of having two eyes.

32 The eyes are separated by about 6.5 cm (Gregory 1998). The consequence of this  
 33 is that each eye sees a different view. As Gregory observes, '[t]his can be seen clearly if  
 34 each eye is closed alternately. Any near object will appear to shift sideways in relation  
 35 to more distant objects and to rotate slightly when each eye receives its view.' (Ibid.: 60).  
 36 The difference between the two retinal images is known as *binocular disparity*, and gives  
 37 rise to the perception of depth or *stereoscopic vision*. However, stereoscopic vision only  
 38 applies to objects which are quite near. This follows as binocular disparity reduces the  
 39 further away an object is. As Gregory notes, '[w]e are effectively one-eyed for objects

1 further than about 100 metres.' (Ibid.: 60). In other words, depth is a consequence of  
2 binocular rather than *monocular* (one-eyed) vision.

## 3 4 Cognitive maps

4 In this section I review in more detail the sorts of spatial representations that the brain  
5 constructs from the sensory systems and perceptual stimuli described in previous sec-  
6 tions. While I have examined distinct sensory systems, in practice perceptual informa-  
7 tion from a range of modalities is integrated in order to form spatial or cognitive maps.  
8 These are complex mental representations which facilitate navigation and moreover,  
9 are necessary for the emergence of the concepts of place and location. The concepts of  
10 place and location are independent of the entities and objects which occupy specific  
11 places or locations. That is, without a cognitive mapping ability which allows us to  
12 perceive places and locations independent of the objects which occupy them we would  
13 have no means of understanding these concepts. Accordingly, the concepts PLACE and  
14 LOCATION are a consequence not of such notions being an inherent aspect of an objective  
15 reality, but rather derive from innate cognitive mapping abilities, and particularly our  
16 ability to construct spatial maps independently of our egocentric spatial location, as  
17 discussed below.

### 18 4.1 Egocentric versus allocentric representations

19 There are two main sorts of spatial cognitive reference frames manifested by humans and  
20 many other species. These are *egocentric* representations and *allocentric representations*.  
21 In this section I briefly introduce cognitive reference frames of both these sorts.

22 There is good neurobiological evidence that humans, along with other mammals,  
23 maintain multimodal cognitive spatial 'maps' in the parietal cortex (recall Figure 2). The  
24 distinguishing feature of egocentric 'maps' is that they represent objects in space with  
25 respect to the organism, or part of the organism, such as the organism's hand, body or  
26 head. This follows as cognitive 'maps' of this kind represent space in *topographic fashion*.  
27 That is, neighbouring areas of neural space represent neighbouring regions of space  
28 in the world of the perceiving organism, with respect to the organism which serves as  
29 reference point or *deictic centre* for organising the location of the represented objects  
30 and regions of space. As regions of space are organised with respect to the organism,  
31 spatial maps of this kind are termed *egocentric* representations.

32 In addition, there is a second kind of spatial representation which is *allocentric* (or  
33 other-focused) in nature. These representations, which are more appropriately thought  
34 of in terms of maps (for reasons I shall discuss below), integrate information derived  
35 from the egocentric spatial representations. Crucially, however, the allocentric mapping  
36 ability represents space, and spatial regions independently of the momentary location  
37 of the organism. That is, entities and objects, and the locations of objects are related to  
38 one another independently of the ego. This system, which is located in the hippocampal

1 region of the brain (O'Keefe and Nadel 1978) represents place, direction and distance  
2 information, rather than object details.

### 3 **4.2 The hippocampus and the human cognitive mapping ability**

4 In now classic work, neurobiologists John O'Keefe and Lynn Nadel (1978) show not only  
5 that i) humans have an objective or absolute spatial framework in which the entities  
6 of our experience are located, but also that, ii) this ability is innate, and along with  
7 other mammals is associated with the brain region often implicated in motor function:  
8 the hippocampus. According to O'Keefe and Nadel, this allocentric mapping system  
9 provides 'the basis for an integrated model of the environment. This system underlies  
10 the notion of absolute, unitary space, which is a non-centred stationary framework  
11 through which the organism and its egocentric spaces move.' (Ibid.: 2). This hippocampal  
12 mapping system consists of two major subsystems, a *place system* and a *misplace system*.

13 The place subsystem is a memory system that allows the organism to represent  
14 places in its environment and crucially to relate different locations with respect to each  
15 other. That is, the place system allows the organism to represent relationships between  
16 different locations without having to physically experience the spatial relations hold-  
17 ing between distinct places. In other words, humans, like many other organisms, can  
18 compute distances, and other spatial relations between distinct places such as directions,  
19 without having to physically experience the spatial relationships in question. Such a  
20 cognitive mapping ability is a consequence of the allocentric place subsystem.

21 The second subsystem to make up the allocentric cognitive mapping ability, the  
22 misplace system, facilitates and responds to exploration. That is, it allows new informa-  
23 tion experienced as a consequence of exploration to be incorporated into the allocentric  
24 map of the organism's environment. It thereby allows the organism to relate specific  
25 objects and entities to specific locations, and to update the cognitive map held in the  
26 place system based on particular inputs (cues) and outputs (responses). Thus, O'Keefe  
27 and Nadel demonstrate two things. Firstly, three-dimensional Euclidean space is, in a  
28 non-trivial sense, imposed on perceptual experience by the human mind. Secondly,  
29 the notion of all-embracing continuous space, 'out there', which 'contains' objects and  
30 other entities, as maintained by the misplace system, is in fact a consequence of first  
31 being able to represent locations in an allocentric (i.e., a non-egocentric) fashion, as  
32 captured by the place subsystem. In other words, our innate ability to form absolute  
33 cognitive maps of our spatial environment is a prerequisite to experiencing objects and  
34 the motions they undergo.

### 35 **4.3 Maps versus routes**

36 In order to illustrate the distinction between egocentric and allocentric spatial mapping  
37 abilities, O'Keefe and Nadel provide an analogy which I briefly discuss here. The analogy  
38 relates to the geographic distinction between routes versus maps. In geographic terms,

1 a route constitutes a set of instructions which directs attention to particular objects in  
2 egocentric space. That is, routes are inflexible, identifying landmarks in order to guide  
3 the traveller, and thus do not allow the traveller freedom of choice. Put another way,  
4 routes are *guide-post based*. Moreover, routes are goal-oriented, focused on facilitating  
5 travel from a specific, pre-specified location to another. In this, routes correspond to  
6 egocentric cognitive representations.

7 In contrast, maps are, in geographic terms, representations of part of space. A map  
8 is constituted of places, and the places which the map represents are systematically  
9 connected and thus related to each other. Moreover, and crucially, the places captured by  
10 the map are not defined in terms of the objects which may occupy a particular location.  
11 That is, and unlike routes, maps are not guide-post based. Thus, maps capture space that  
12 is held to exist independently of the objects which may be located at particular points  
13 in space. Crucially, a map is a flexible representation, which can be used for a range  
14 of purposes. In related fashion, this notion of a map is presented as an analogy of the  
15 allocentric cognitive mapping ability that many organisms, including humans, possess.

16 While then map-like representations of the environment are constructed by humans,  
17 as well as by other species, it is far from clear what the nature of these representations are.  
18 Nevertheless, it is by now well established that humans do possess complex information  
19 structures which can be used to generate highly-detailed map-like representations,  
20 which can be used for a range of behaviours. Indeed, an important finding to have  
21 emerged is that place memory has a high information capacity, and can be permanently  
22 modified by a single experience. Moreover, experiments reported on by O'Keefe and  
23 Nadel reveal that this mapping ability can be used to construct maps in a highly flexible  
24 and efficient manner.

25 Finally, I reiterate that the ability to represent space in an allocentric fashion, i.e.,  
26 map-like representations, is a trait common to a wide variety of organisms. As O'Keefe  
27 and Nadel observe, 'The ability of many animals to find their way back to their nests  
28 over large distances would appear to be based on some type of mapping system' (Ibid.:  
29 63). Obvious examples include the migratory and homing behaviour exhibited by many  
30 kinds of birds. Indeed, a robust finding from studies on homing pigeons is that

31 they are able to find their way 'home' using novel routes from new release sites.  
32 Such abilities would appear to require a cognitive mapping ability.

## 33 5 Primitive spatial concepts

34 In this section I turn to an examination of spatial concepts and the way in which spatial  
35 concepts are derived (or redescribed) from spatial experience. I focus here on the  
36 notion of the image schema. Image schemas were first proposed by cognitive linguists  
37 (e.g., Johnson 1987, 2007; Lakoff 1987; see Evans and Green 2006 for a review), and  
38 represent a rudimentary conceptual building block derived from *embodied experience*  
39 (discussed further below). This notion has been subsequently adopted by a range of  
40 other cognitive scientists in their work (see papers and references in Hampe 2005). In  
41 particular, the notion of the image schema has been developed in the influential work

1 of developmental psychologist Jean Mandler (e.g., 2004) in her work on how conceptual  
2 development takes place.

### 3 5.1 Embodiment and experience

4 I begin this brief overview of the image schema by first introducing the role of *embodi-*  
5 *ment* in the formation of concepts. Due to the nature of our bodies, including our  
6 neuro-anatomical architecture, we have a species-specific view of the world. In other  
7 words, our construal of ‘reality’ is mediated, in large measure, by the nature of our  
8 embodiment. One obvious example of the way in which embodiment affects the nature  
9 of experience relates to biological morphology (i.e., body parts). This, together with the  
10 nature of the physical environment with which we interact, determines other aspects  
11 of our experience. For instance, while gravity is an objective feature of the world, our  
12 experience of gravity is determined by our bodies and by the ecological niche we have  
13 adapted to. For instance, hummingbirds – which can flap their wings up to fifty times  
14 per second – respond to gravity in a very different way from humans. They are able to  
15 rise directly into the air without pushing off from the ground, due to the rapid movement  
16 of their wings.

17 The fact that our experience is embodied – that is, structured in part by the nature  
18 of the bodies we have and by our neurological organisation – has consequences for  
19 cognition. In other words, the concepts we have access to and the nature of the ‘reality’  
20 we think and talk about are a function of our embodiment – the phenomenon of *variable*  
21 *embodiment*. That is, we can only talk about what we can perceive and conceive, and the  
22 things that we can perceive and conceive derive from embodied experience. From this  
23 point of view, the human mind must bear the imprint of embodied experience. This  
24 thesis is known as the *thesis of embodied cognition*. This position holds that conceptual  
25 structure – the nature of human concepts – is a consequence of the nature of our  
26 embodiment and thus is embodied.

### 27 5.2 Image schemas

28 The theoretical construct of the image schema was developed by Mark Johnson in his  
29 now classic 1987 book, *The Body in the Mind*. Johnson proposed that one way in which  
30 embodied experience manifests itself at the cognitive level is in terms of image schemas.  
31 These are rudimentary concepts like CONTACT, CONTAINER and BALANCE, which are  
32 meaningful because they derive from and are linked to human *pre-conceptual experience*.  
33 This is experience of the world directly mediated and structured by the human body.

34 The term ‘image’ in ‘image schema’ is equivalent to the use of this term in psy-  
35 chology, where *imagistic* experience relates to and derives from our experience of the  
36 external world. Another term for this type of experience is sensory experience, because  
37 it comes from sensory-perceptual mechanisms that include, but are not restricted to,  
38 the visual system.



1 According to Johnson (1987) there are a number of properties associated with image  
2 schemas which I briefly review below.

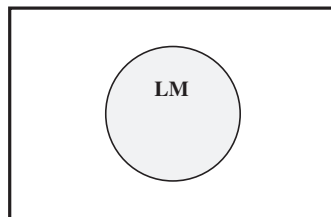
3 *Image schemas are pre-conceptual in origin*

4 Image schemas such as the CONTAINER schema are directly grounded in embodied  
5 experience. This means that they are pre-conceptual in origin. Mandler (2004) argues,  
6 discussed further in the next section, that they arise from sensory experiences in the  
7 early stages of human development that precede the formation of concepts. However,  
8 once the recurrent patterns of sensory information have been extracted and stored as  
9 an image schema, sensory experience gives rise to a conceptual representation. This  
10 means that image schemas are concepts, but of a special kind: they are the foundations  
11 of the conceptual system, because they are the first concepts to emerge in the human  
12 mind, and precisely because they relate to sensory-perceptual experience, they are  
13 particularly schematic. Johnson argues that image schemas are so fundamental to our  
14 way of thinking that we are not consciously aware of them: we take our awareness of  
15 what it means to be a physical being in a physical world very much for granted because  
16 we acquire this knowledge so early in life, certainly before the emergence of language.

17 *Image schemas form the basis of word senses*

18 Concepts lexicalised by words such as prepositions, for instance, *in*, *into*, *out*, *out of* and  
19 *out from* are all thought to relate to the CONTAINER schema: an abstract image schematic  
20 concept that underlies all these much more specific *senses* – the semantic pole associated  
21 with lexical forms (see Tyler and Evans 2003).

22 The CONTAINER image schema is diagrammed in Figure 17. This image schema  
23 consists of the structural elements interior, boundary and exterior: these are the mini-  
24 mum requirements for a CONTAINER (Lakoff 1987). The *landmark* (LM), represented  
25 by the circle, consists of two structural elements, the interior – the area within the  
26 boundary – and the boundary itself. The exterior is the area outside the landmark,  
27 contained within the square. The container is represented as the landmark because the  
28 boundary and the exterior together possess sufficient Gestalt properties (e.g., closure and  
29 continuity) to make it the figure, while the exterior is the ground (recall my discussion  
30 of Gestalt principles above).

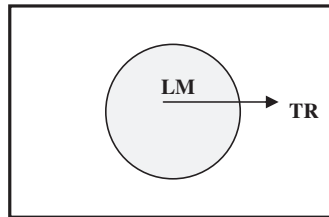


32 **Figure 17.** CONTAINER image schema

1 Although Figure 17 represents the basic CONTAINER schema, there are a number of  
 2 other image schemas that are related to this schema, which give rise to distinct concepts  
 3 related to containment. For instance, let's consider one variant of the CONTAINER schema  
 4 lexicalised by *out*. This image schema is diagrammed in Figure 18 and is illustrated  
 5 with a linguistic example. The diagram in Figure 18 corresponds to example (1). The  
 6 *trajector* (TR) *Fred*, which is the entity that undergoes motion, moves from a position  
 7 inside the LM to occupy a location outside the LM. The terms 'TR' and 'LM' derive  
 8 from the work of Langacker (e.g., 1987), and relate to the Gestalt notions of figure and  
 9 ground respectively.

10 (1) Fred went out of the room

11



12 **Figure 18:** Image schema for *out*

13 The image schema shown in Figure 18 represents a concept that is more specific and  
 14 detailed than the image schema diagrammed in Figure 17, because it involves motion  
 15 as well as containment. This shows that image schemas can possess varying degrees  
 16 of schematicity, where more specific image schemas arise from more fundamental or  
 17 schematic ones.

### 18 *Image schemas derive from interaction*

19 As image schemas derive from embodied experience, they derive from the way in  
 20 which we interact with the world. To illustrate this idea, consider the image schema for  
 21 *FORCE*. This image schema arises from our experience of acting upon other entities, or  
 22 being acted upon by other entities, resulting in the transfer of motion energy. Johnson  
 23 illustrates the *interactional derivation* of this image schema – how it arises from experi-  
 24 ence – as follows:

25 [F]orce is always experienced through interaction. We become aware of force as it  
 26 affects us or some object in our perceptual field. When you enter an unfamiliar dark  
 27 room and bump into the edge of the table, you are experiencing the interactional  
 28 character of force. When you eat too much the ingested food presses outwards on  
 29 your taughly stretched stomach. There is no schema for force that does not involve  
 30 interaction or potential interaction. (Johnson 1987: 43).

1 *Image schemas are inherently meaningful*

2 As image schemas derive from interaction with the world, they are inherently meaning-  
 3 ful. Embodied experience is inherently meaningful in the sense that embodied experi-  
 4 ences have predictable consequences. To illustrate, imagine a cup of coffee in your hand.  
 5 If you move the cup slowly up and down, or from side to side, you expect the coffee  
 6 to move with it. This is because a consequence of containment, given that it is defined  
 7 by boundaries, is that it constrains the location of any entity within these boundaries.  
 8 In other words, the cup exerts force-dynamic control over the coffee. This kind of  
 9 knowledge, which we take for granted, is acquired as a consequence of our interaction  
 10 with our physical environment. For example, walking across a room holding a cup of  
 11 coffee without spilling it actually involves highly sophisticated motor control that we also  
 12 acquire from experience. This experience gives rise to knowledge structures that enable  
 13 us to make predictions: if we tip the coffee cup upside-down, the coffee will pour out.

14 *Image schemas are analogue representations*

15 Image schemas are *analogue* representations deriving from experience. The term ‘ana-  
 16 logue’ means image schemas take a form in the conceptual system that mirrors the  
 17 sensory experience being represented. Because image schemas derive from sensory  
 18 experience, they are represented as summaries of *perceptual states*, which are recorded  
 19 in memory. However, what makes them conceptual rather than purely perceptual in  
 20 nature is that they give rise to concepts that are consciously accessible (Mandler 2004).  
 21 In other words, image schemas structure (more complex) lexical concepts.

22 *Image schemas can be internally complex*

23 Image schemas are often, perhaps typically, comprised of more complex aspects that can  
 24 be analysed separately. For example, the CONTAINER schema is a concept that consists of  
 25 interior, boundary and exterior elements. Another example of a complex image schema  
 26 is the SOURCE-PATH-GOAL or simply PATH schema. Because a path is a means of moving  
 27 from one location to another, it consists of a starting point or SOURCE, a destination or  
 28 GOAL and a series of contiguous locations in between, which relate the source and goal.  
 29 Like all complex image schemas, the PATH schema constitutes an *experiential gestalt*: it  
 30 has internal structure, but emerges as a coherent whole.

31 One consequence of internal complexity is that different components of the PATH  
 32 schema can be referred to. This is illustrated in example (2), where the relevant linguistic  
 33 units are bracketed. In each of these examples, different components of the path are  
 34 profiled by the use of different lexical items.

- 35 (2) a. SOURCE  
 36 John left [England]
- 37 b. GOAL  
 38 John travelled [to France]

- 1           c. SOURCE-GOAL  
2           John travelled [from England] [to France]
- 3           d. PATH-GOAL  
4           John travelled [through the Chunnel] [to France]
- 5           e. SOURCE-PATH-GOAL  
6           John travelled [from England] [through the Chunnel] [to France]

7           *Image schemas are not mental images*

8           If you close your eyes and imagine the face of your mother or father, partner or lover,  
9           what results is a mental image. Image schemas are not the same as mental images. Mental  
10          images are detailed, and result from an effortful and partly conscious cognitive process  
11          that involves recalling visual memory. Image schemas are schematic, and therefore  
12          more abstract in nature, emerging from ongoing embodied experience. This means that  
13          you can't close your eyes and 'think up' an image schema in the same way that you can  
14          'think up' the sight of someone's face or the feeling of a particular object in your hand.

15          *Image schemas are multi-modal*

16          Image schemas derive from experiences across different modalities (different types of  
17          sensory experience), and hence are not specific to a particular sense. In other words,  
18          image schemas are abstract patterns arising from a range of perceptual experiences, and  
19          as such are not available to conscious introspection. For instance, blind people have  
20          access to image schemas for CONTAINERS, PATHS, and so on, precisely because the kinds  
21          of experiences that give rise to these image schemas rely on a range of sensory-perceptual  
22          experiences in addition to vision, including hearing, touch, and our experience of  
23          movement and balance.

24          *Image schemas form the basis for abstract thought*

25          Lakoff (1987, 1990, 1993) and Johnson (1987) have argued that rudimentary embodied  
26          concepts of this kind provide the conceptual building blocks for more complex concepts,  
27          and can be systematically extended to provide more abstract concepts and conceptual  
28          domains with structure. According to this view, the reason we can talk about being *in*  
29          states like love or trouble (3) is because abstract concepts like LOVE are structured and  
30          therefore understood by virtue of the fundamental concept CONTAINER. In this way,  
31          image schematic concepts serve to structure more complex concepts and ideas.

- 32          (3) a. John is in love.  
33               b. Jane is in trouble.  
34               c. The government is in a deep crisis.

1 According to Johnson, it is precisely because containers constrain activity that it makes  
2 sense to conceptualise POWER and all-encompassing states like LOVE or CRISIS in terms  
3 of CONTAINMENT.

### 4 5.3 Perceptual meaning analysis

5 The developmental psychologist Jean Mandler (e.g. 1992, 1996, 2004) has made a number  
6 of proposals concerning how image schemas might arise from embodied experience.  
7 Starting at an early age infants attend to objects and spatial displays in their environment.  
8 Mandler suggests that by attending closely to such spatial experiences, children are able  
9 to abstract across similar kinds of experiences, finding meaningful patterns in the proc-  
10 ess. For instance, the CONTAINER image schema is more than simply a spatio-geometric  
11 representation. It is a 'theory' about a particular kind of configuration in which one  
12 entity is supported by another entity that contains it. In other words, the CONTAINER  
13 schema is meaningful because containers are meaningful in our everyday experience.

14 Mandler (2004) describes the process of forming image schemas in terms of a  
15 redescription of spatial experience via a process she labels *perceptual meaning analysis*  
16 (Mandler 2004). This process results from children associating functional consequences  
17 with spatial displays. That is, image schemas emerge by virtue of analysing spatial  
18 displays of various sorts as relating to the functional consequences with which they are  
19 correlated. For example, we saw above that a consequence of coffee being located in a  
20 coffee cup is that the coffee moves with the cup. That is, containment has functional  
21 consequences in terms of containing, supporting and constraining the location of the  
22 entity contained. Thus, the distinction between percepts and concepts such as image  
23 schemas is that image schemas encode functional information, that is meaning. As  
24 Mandler observes, '[O]ne of the foundations of the conceptualizing capacity is the image  
25 schema, in which spatial structure is mapped into conceptual structure' (Mandler 1992:  
26 591). She further suggests that 'Basic, recurrent experiences with the world form the  
27 bedrock of the child's semantic architecture, which is already established well before the  
28 child begins producing language' (Mandler 1992: 597). In other words, it is experience,  
29 meaningful to us by virtue of our embodiment, that forms the basis of many of our  
30 most fundamental concepts.

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